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## J.C. PATENTS

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## CERTIFICATE OF TRANSMISSION

January 17, 2007

Atty Docket No.	:	JCLA16514
Appl. No.	;	10/529,376
Filing Date	:	September, 20, 2005
Pages	:	Cover + 42

## BY FACSIMILE ONLY

Fax No.	:	571-273-8300
Attention	:	EXAMINER:
Group Unit	:	
From	:	Jiawei Huang, Reg. No. 43,330
MESSAGE		Enclosed herewith is an Information Disclosure Statement with 4 references in 42 pages.

Sir:

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office on <u>January 17, 2007</u> at the above indicated fax number.

Sign bk:	w	
	Michelle Chang	g

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10529376 - GAU: 3742 Z002/043

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PATENT

Docket No. JCLA16514

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : HIROSHI SAWADA et al.

Application No. : 10/529,376

Filed : September 20,2005

METHOD OF FORMING A PERIODIC STRUCTURE AND For

SURFACE TREATMENT

Group Art Unit

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir

Enclosed are a Japanese Examination Report and a PTO Form 1449 listing FOUR (4) references that are attached. Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application. Applicants submit the references in compliance with their duty of disclosure pursuant to 37 CFR §1.56 and 1.97. The Examiner is request to make the citation(s) of official record.

This IDS is being submitted before first Office Action. Thus, no fee is due. However, if a first Office Action has been sent, the Commissioner is authorized to charge any necessary fee in connection with the submission of the IDS to Account No. 50-0710 (Order No. JCLA16514).

The submission of the references should not be interpreted as admitting them as prior art.

Dated: 1/17/2007

Correspondence Address: 4 Venture, Suite 250 Irvine, CA 92618 Tel: (949) 660-0761

Respectfully submitted, J.C. Patents

Jiawei Huang

Registration No. 43,330

10529376 - GAU: 3742

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FORM PTO-1449	FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO.: JCLA16514	APPLICATION No.: 10/529,376
10.00.110.140		APPLICANT: HIROSHI SAWADA et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		FILING DATE: September 20, 2005	GROUP:

#### U.S. PATENT DOCUMENTS EXAMINER DOCUMENT NUMBER DATE CLASS SUBCLASS FILING DATE INITIAL. (IF APPROPRIATE)

FOREIGN PATENT DOCUMENTS						
EXAMENER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	COUNTRY	CLASS	SUBCLASS	Т
/SMH/	06-212451	08/02/94	Japan			~
/SMH/	04-253583	09/09/92	Japan			~
/SMH/	04-100900	04/02/92	Japan			~
/SMH/	02-085350	03/26/90	Japan			~
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LETTERS), title of the article (when appropriate), title of the item (book, magazine,	
late, page(s), volume-issue number(s), publisher, city and/or county where published.	Т
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EXAMINER	/Samuel M. Heinrich/ (08/18/2008	DATE CONSIDERED 08/18/2008
		THER OR NOT CITATION IS IN CONFORMANCE WITH MPEP

PAGE 10/43 \* RCVD AT 1/17/2007 9:19:32 PM [Eastern Standard Time] \* SVR:USPTO-EPXRF-6/42 \* DNIS:2738300 \* CSID:949 6600809 \* DURATION (mm-ss):10-44

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